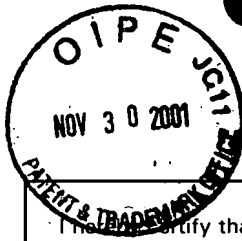


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#5



PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on November 27, 2001

Kevin G. Rooney, Reg. No. 36,330

11/27/01
Date

Applicant:	Paul A. Spence
Serial No:	09/557,562
Filing Date:	April 25, 2000
Art Unit:	3736
Examiner:	Unknown
Title:	SUPPLEMENTAL HEART PUMP METHODS AND SYSTEMS FOR SUPPLEMENTING BLOOD THROUGH THE HEART
Atty Docket:	SPEN-15

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TECHNOLOGY CENTER R3700

Cincinnati, Ohio 45202

November 27, 2001

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of candor and good faith imposed by 37 C.F.R. §1.56, and means of complying therewith according to 37 C.F.R. §§1.97 and 1.98, the references listed on the attached Form PTO-1449 are called to the attention of the United States Patent and Trademark Office in connection with the above-identified patent application. Copies of the cited references are enclosed

herewith and Applicant requests that the Examiner consider the cited references and make an independent evaluation of their materiality. Applicant has also enclosed a copy of the foreign search report listing these references. It is noted that U.S. Patent Nos. 4,995,857 and 5,948,006 have already been cited in this application. Therefore, copies have not been included with this statement.

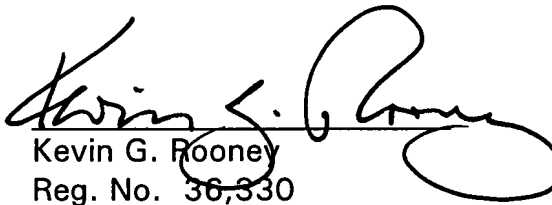
Certification under 37 C.F.R. § 1.97(e)

Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant does not believe that any fees are due in connection with this submission. However, if such petition is due or any fees are necessary, the Commissioner may consider this to be a request for such and charge any necessary fees to deposit account 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.


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